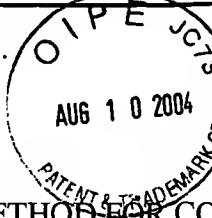


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Tracy L. Lentz et al. Examiner: Monzer R. Chorbaji  
Serial No.: 09/995,068 Group Art Unit: 1744  
Filed: November 26, 2001 Docket: H0002450 US (H161.110.101)  
Title: SYSTEM AND METHOD FOR CONTROLLING AN ULTRAVIOLET AIR  
TREATMENT DEVICE FOR RETURN AIR DUCT APPLICATIONS

---



**STATEMENT CONCERNING COMMON OWNERSHIP**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Statement is provided for consideration in association with the Office Action mailed May 5, 2004. Application No. 09/995,068 and U.S. Patent No. 6,438,971(09/995,049) were, at the time the invention of Application No. 09/995,068 was made, owned by, or subject to an obligation of assignment to, Honeywell International Inc. and Ultra Violet Devices, Inc.

In addition to the above statement regarding common ownership, the following objective evidence is provided, including reference to assignments recorded in the U.S. Patent and Trademark Office in accordance with 37 CFR Part 3, which convey the entire rights in the referenced applications to the same person(s) or organization(s). MPEP § 706.02(l)(2).

Serial Number: 09995049/Patent Number: 6438971	Doc Date: 3/20/2003
Reel/Frame: 013986/0686	Recordation Date: 04/25/2003
Assignor: Metzger, Richard N.	Assignee(s): Honeywell International Inc.
	Ultra Violet Devices, Inc.

Serial Number: 09995049	Doc Date: 11/20/2001
Reel/Frame: 012329/0646	Recordation Date: 11/26/2001
Assignor: Lentz, Tracy L.	Assignee(s): Honeywell International Inc.

Serial Number: 09995049	Doc Date: 11/20/2001
Reel/Frame: 012329/0646	Recordation Date: 11/26/2001

**Amendment and Response**

Applicant: Tracy L. Lentz et al.

Serial No.: 09/995,068

Filing Date: November 26, 2001

Docket: H0002450US

**Title: SYSTEM AND METHOD FOR CONTROLLING AN ULTRAVIOLET AIR TREATMENT DEVICE FOR RETURN AIR DUCT APPLICATIONS**

---

Assignor: Kensok, Timothy J.      Assignee(s): Honeywell International Inc.

Serial Number: 09995068      Doc Date: 12/04/2001

Reel/Frame: 012534/0711      Recordation Date: 01/29/2002

Assignor: Lentz, Tracy L.      Assignee(s): Honeywell International Inc.  
Ultra Violet Devices, Inc.

Serial Number: 09995068      Doc Date: 12/07/2001

Reel/Frame: 012534/0711      Recordation Date: 01/29/2002

Assignor: Kensok, Timothy J.      Assignee(s): Honeywell International Inc.  
Ultra Violet Devices, Inc.

Serial Number: 09995068      Doc Date: 12/04/2001

Reel/Frame: 012534/0711      Recordation Date: 01/29/2002

Assignor: Hammer, Jeffrey M.      Assignee(s): Honeywell International Inc.  
Ultra Violet Devices, Inc.

Serial Number: 09995068      Doc Date: 12/04/2001

Reel/Frame: 012534/0711      Recordation Date: 01/29/2002

Assignor: Stout, Mark E.      Assignee(s): Honeywell International Inc.  
Ultra Violet Devices, Inc.

Serial Number: 09995068      Doc Date: 12/03/2001

Reel/Frame: 012534/0711      Recordation Date: 01/29/2002

Assignor: Metzger, Richard      Assignee(s): Honeywell International Inc.  
Ultra Violet Devices, Inc.

**Amendment and Response**

Applicant: Tracy L. Lentz et al.

Serial No.: 09/995,068

Filing Date: November 26, 2001

Docket: H0002450US

Title: SYSTEM AND METHOD FOR CONTROLLING AN ULTRAVIOLET AIR TREATMENT DEVICE FOR RETURN AIR DUCT APPLICATIONS

---

Serial Number: 09995068

Doc Date: 12/06/2001

Reel/Frame: 012534/0711

Recordation Date: 01/29/2002

Assignor: Orr, Jon W.

Assignee(s): Honeywell International Inc.

Ultra Violet Devices, Inc.

**CONCLUSION**

The Examiner is invited to contact the Applicant's representative at the below-listed telephone numbers regarding this Statement.

Respectfully submitted,

Tracy L. Lentz et al.,

By their attorneys,

DICKE, BILLIG & CZAJA, PLLC

Fifth Street Towers, Suite 2250

100 South Fifth Street

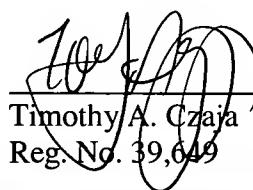
Minneapolis, MN 55402

Telephone: (612) 573-2004

Facsimile: (612) 573-2005

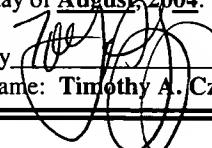


Date: AUGUST 5, 2004  
TAC:jmc

  
\_\_\_\_\_  
Timothy A. Czaja  
Reg. No. 39,649

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope address to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 5<sup>th</sup> day of August, 2004.

  
\_\_\_\_\_  
Name: Timothy A. Czaja